

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kohei ARAKAWA

Appln. No. Not Yet Assigned Group Art Unit: Not Yet Filed

Confirmation No.: Not Yet Assigned Examiner: Not Yet Filed

Filed: November 16, 2001

For: RETARDATION FILM, SUBSTRATE FOR LIQUID CRYSTAL DISPLAY DEVICE

USING THE SAME, AND LIQUID CRYSTAL DISPLAY DEVICE USING THE

RETARDATION FILM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

INFORMATION DISCLOSURE STATEMENT Attorney Docket No. Q67301

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

English language abstracts of Japanese Patent Application Nos. 6-186550, 7-49483,

7-199164, 10-186357, 10-329254, 11-133398, 5-27118 and 10-68816 are submitted herewith

and constitute concise statements of relevance.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Darryl

Registration No. 23,063

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213 Telephone: (202) 293-7060 Facsimile: (202) 293-7860

DM/tmm

Date: November 16, 2001

Form PTO-1449 U.S. Department of Commerce Rev. 2-32) Patent & Trademark Office		Atty. Docket No. Q67301		Serial No.: Confirmation No.: Not Yet Assigned		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Kohei ARAKAWA			
		Filing Date: November 16, 2001	Group: Not Yet Filed			
		U.S. PAT	ENT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)
		-				
		-				<u>1</u>
		 	 			μ _E
		-				860
						- -
						50
						<u> </u>
		FOREIGN P	ATENT DOCUMENTS	3		
	Document	Date	Country	Class	Sub-	Translation
	Bocamen				class	Yes/No
	6-186550	7/8/94	Japan	G02F	1/333	
	7-49483	2/21/95	Japan	G02F	1/333	
	7-199164	8/4/95	Japan	G02F	1/333	
	10-186357	7/14/98	Japan	G02F	1/335	
	10-329254	12/15/98	Japan	B32B	7/02	
	11-133398	5/21/99	Japan	G02F	1/1333	
	5-27118	2/5/93	Japan	G02B	5/30	
	10-68816	3/10/98	Japan	G02B	5/30	
	OTHER DOCUM	ENTS (Includi	ng Author, Title, Date,	Pertinent Page	s, Etc.)	
EXAMINER:			DATE CONSIDER	ED:		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.